

## CHANGES APRIL 1, 2008 THROUGH JULY 31, 2008

**TITLE 17—COMMODITY AND SECURITIES EXCHANGES****Chapter I—Commodity Futures Trading Commission (Parts 1—199)**

30 Order ..... 39226

**Chapter II—Securities and Exchange Commission (Parts 200—399)**

200.1—200.30-18 (Subpart A) Authority citation amended ..... 32223

200.11 Revised ..... 32223

200.12 Amended ..... 32223

200.19a Heading revised; introductory text amended; authority citation removed ..... 40152

200.21a (b)(2) amended ..... 32224

200.27 Revised ..... 32224

200.27a Removed ..... 32224

200.28 (a) amended ..... 32224

200.30-1 (e)(13) revised ..... 17813  
(i) amended ..... 40152

200.30-3 (a)(35) removed ..... 17813

Heading revised; introductory text and (a)(12) amended;  
(a)(58) removed ..... 40152

200.30-4 (a)(12) amended ..... 40152

200.30-6a Removed ..... 32224

200.30-11 (c)(2) amended ..... 32224

200.30-18 (h) introductory text  
amended ..... 40152

200.80—200.83 (Subpart D) Authority citation revised ..... 32224

200.80 (a)(2) introductory text,  
(c)(2), (d)(1), (2), (4), (7)(i), (e)  
introductory text, (2), (7)(i),  
(ii) and (iii) amended; (c)(1)  
and (d)(6)(ii) revised ..... 32224

200.80e Amended ..... 32225

200.83 (c)(3), (7) and (e)(2) amend-  
ed ..... 32225

200.202 (a) amended ..... 32225

200.203 (c)(1)(v) removed ..... 32225

200.204 Amended ..... 32225

200.303 (a) introductory text and  
(b)(2) amended; (a)(2) revised  
..... 32225200.309 (a)(1) amended; authority  
citation removed ..... 32226200.503 Introductory text amend-  
ed ..... 32226

200.508 (a) amended ..... 32226

200.554 (b) amended .....	32226
200.670 (c) amended .....	32226
200.735-1—200.735-18 (Subpart M) Authority citation revised .....	32226
200.735-3 (a)(3), (b)(3)(i), (6)(i) and (10)(i) amended .....	32226
200.735-4 (b)(6)(iii)(A) introduc- tory text, (I), (B) and (f) amended .....	32226
200.735-5 (f)(2) amended .....	32226
200.735-6 Amended .....	32226
200.735-11 (c)(2)(v) revised .....	32226
200.735-15 (b) amended .....	32227
201 Authority citation revised .....	32227
201.63 Amended .....	32227
202 Authority citation revised .....	32227
202.2 Amended .....	32227
202.5 (c) amended .....	32227
202.7 (a) amended .....	32227
203 Authority citation revised .....	32227
203.2 Amended .....	32227
203.7 (a) and (e) amended .....	32227
209 Authority citation revised .....	32227
209.0-1 (b) amended .....	32227
210.2-02T (a) and (b) removed; (c) and (d) redesignated as new (a) and (b); new (a) amended; new (b) revised; eff. 9-2-08 to 6-30-10 .....	38099
228.308T Note and (c) revised; eff. 9-2-08 to 3-15-09 .....	38099
229.308T Note and (c) revised; eff. 9-2-08 to 6-30-10 .....	38099
230 Technical correction .....	20367
230.497 (k)(2)(ii) amended .....	32227
232 Technical correction .....	20367
232.12 (a) amended .....	32227
232.301 Revised .....	33004, 33299
239 Technical correction .....	20367
239.18 Form S-11 amended .....	20517
239.34 Form F-4 amended .....	17813
239.38 Form F-8 amended .....	17813
239.39 Form F-9 amended .....	17813
239.40 Form F-10 amended .....	17813
239.41 Form F-80 amended .....	17813
239.800 Form CB amended .....	17813
240 Technical correction .....	20782, 22017
240.0-11 (d) amended .....	17813
240.13d-101 Undesignated center heading revised; section amended .....	17813
240.13d-102 Amended .....	17813
240.13e-3 (g)(3) and authority ci- tation removed .....	17813
240.13e-4 (a)(3), (e)(2) and In- structions amended .....	17814

## LSA—LIST OF CFR SECTIONS AFFECTED

CHANGES APRIL 1, 2008 THROUGH JULY 31, 2008

**TITLE 17 Chapter II—Con.**

240.13e-102 Amended .....	17814	249.308a Form 10-Q amended; eff. 9-2-08 to 6-30-10 .....	38099
240.14a-2 (a)(5) removed .....	17814	249.308b Form 10-QSB amended; eff. 9-2-08 to 10-31-08 .....	38100
240.14a-3 (a)(3)(i) revised.....	17814	249.310 Form 10-K amended; eff. 9-2-08 to 6-30-10 .....	38100
240.14a-14 (b) amended .....	17814	249.310b Form 10-KSB amended; eff. 9-2-08 to 3-15-09 .....	38100
240.14a-16 (m) revised.....	17814	249.322 (a) amended .....	32228
240.14b-1 (d)(5)(iii)(A) revised.....	17814	249.330 Form N-SAR amended .....	23333
240.14b-2 (d)(5)(iii)(A) revised.....	17814	249.331 Form N-CSR amended .....	23333
240.14d-1 Instructions amended .....	17814	249b.100 Amended.....	32228
240.14d-3 (a)(3) introductory text revised.....	17814	249b.102 Amended.....	32228
240.14d-9 (c)(1) amended.....	17814	249b.200 Amended.....	32228
240.14d-100 Instruction amended .....	17814	260.0-5 (a) amended .....	32228
240.14d-101 Instruction amended .....	17814	270.2a-46 Revised .....	29051
240.14d-102 Amended .....	17814	270.17f-4 (c)(1) amended .....	32228
240.14d-103 Amended .....	17814	274.0-1 (b) amended .....	32228
240.14e-1 (e) revised.....	17814	274.101 Form N-SAR amended .....	23333
240.15b7-3T (c) and (e)(2) amend- ed.....	32227	274.128 Form N-CSR amended.....	23333
240.15c3-1 (a)(6)(iv), (v), (c)(2)(x)(C)(1), (12) and (e)(1)(iv) amended.....	32227	275.204-2 (j)(3)(i) and (ii) amended .....	32229
240.15c3-1d (c)(6)(i) and (ii) amended; authority citation removed.....	32228	279.0-1 (b) amended .....	32229
240.17a-3 (b)(2) amended.....	32228	<i>Proposed Rules:</i>	
240.17a-5 (a)(3), (b)(1), (c)(1) in- troduction text, (2)(iii), (iv), (d)(1)(i), (6), (e)(4) introduc- tory text, (f)(2)(i), (4) intro- ductory text and (n)(1) amended.....	32228	150 .....	32260, 32261
240.17a-7 (b)(1) and (2) amended .....	32228	210.....	39526
240.17a-11 (g) amended .....	32228	229.....	32794, 39526, 40106
240.17Ad-2 (h)(1) amended; au- thority citation removed .....	32228	230.....	26876, 32794, 35442, 37752, 40106
240.17Ad-21T (c) and (e)(2) amended .....	32228	232.....	26876, 32794, 35442
241 Interpretive releases.....	40152	239.....	26876, 32794, 35442, 40106
247.701 (b) revised.....	20780	240.....	26876, 32794, 36212, 37752, 39182, 40088, 40106
247.721 (a)(6) and (7) redesignated as (a)(5) and (6); (c)(2) revised .....	20780	242.....	40088, 40201
247.723 (e)(3) revised.....	20780	249.....	26876, 32794, 39526, 40088
247.741 (a)(1)(A) and (B) redesi- gnated as (a)(1)(i) and (ii).....	20780	249b.....	36212
247.775 (b)(1)(i) revised .....	20780	270.....	35442, 40124
249 Technical correction.....	20782, 22017	274.....	35442
Authority citation revised.....	38099	275.....	40124
249.220f Form 20-F amended; eff. 9-2-08 to 6-30-10 .....	38099	<b>TITLE 18—CONSERVATION OF POWER AND WATER RE- SOURCES</b>	
249.240f Form 40-F amended; eff. 9-2-08 to 6-30-10 .....	38099	<b>Chapter I—Federal Energy Regu- latory Commission, Department of Energy (Parts 1—399)</b>	
		1b.19 Revised .....	29433
		33.1 (c)(12) revised; (c)(16) added .....	43072
		35 Order .....	17246
		35.36 (a)(4) and (6) revised; (a)(9) added .....	25912
		35.39 (b) and (d)(1) revised.....	25912